Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and () ademark Office	Attorney's Docket No. 07977-304001	Application No. 10/081,558
by Ap	cl sure Statement Copplicant JUL 1 6 2002 5	Applicant Seo, et al.	
(Use several sh (37 CFR §1.98(b))	eets if necessary)	Filing Date February 20, 2002	Group Art Unit

			U.S. Pate	ent Documents	2	· •	
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
A.s.	AA	5 925 980	Jul 20, 1999	So et al.			
1	AB	5 853 905	Dec 29, 1998	So et al.			
	AC	6 130 001	Oct 10, 2000	Shi et al.			
	AD	5 271 089	Deċ 14, 1993	Ozawa			
10	AE	6 285 039	Sep 4, 2001	Kobori et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID ID	Number	Date	Patent Office	Class	Subclass.	Yes	No
Ass.	AF	1 065 737	Jan 3, 2001	ЕРО				
	AG	10-233288	Sep 2, 1998	Japan			Full	
20	AH	2001-52870	Feb 23, 2001	Japan			Full	

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
A.s.	AI	Tang et al. "Organic electroluminescent diodes." Applied Physics Letters 51(12): 1987. p. 913-915.
	AJ	Kijima et al. "A blue organic light emitting diode." Jpn. J. Appl. Phys. 38: 1999. p. 5274-5277.
	AK	C. Adachi et al. "Electroluminescence in organic films with three-layer structure." Jpn. J. Appl. Phys. 27(2): 1988. p. L269-L271.
	AL	C.W. Tang et al. "Electroluminescence of doped organic thin films." J. Appl. Phys. 65(9): 1989. p. 3610-3616.
	AM	"New Aspect of Research and Development of Organic EL." M&BE Seminar, Bulletin of Organic Molecular/Bioelectronics Subcommittee, Society of Applied Physics, 11(1): 2000. p. 3-12.
	AN	T. Wakimoto et al. "Organic EL cells using alkaline metal compounds as electron injection materials." IEEE Transactions on Electron Devices 44(8): 1997. p. 1245-1248.
	AO	S.A. Van Slyke et al. "Organic electroluminescent devices with improved stability." Appl. Phys. Lett. 69(15): 1996. p. 2160-2162.
	AP	D.F. O'Brien et al. "Improved energy transfer in electrophosphorescent devices." Appl. Phys. Lett. 74(3): 1999. p. 442-444.
	AQ	T. Tsutsui et al. "High quantum efficiency in organic light-emitting devices with iridium-complex as a triplet emissive center." Jpn. J. Appl. Phys. 38: 1999. p. L1502-L1504.
	AR	T. Tsutsui et al. "The operation mechanism and the light emission efficiency of the organic EL element." Text of the Third Lecture Meeting, Bulletin of Organic Molecular/Bioelectronics Subcommittee, Society of Applied Physics, p. 31-37.
X	AS	J. Kido et al. "Multilayer white light-emitting organic electroluminescent device." Science 367: -1995. p. 1332-1334.

Examiner Signature		Date Considered		
\perp	(6	117	104
EXAMINER: Initials citation considered	Draw line through citation if not	in conformance and not co	nsidered. Inc	lude copy of this form with
next communication to applicant.				
			Substitute	Disclosure Form (PTO-1449)

COPY OF	PAP,
ORIGINAL	TALP

Sheet <u>1</u> of <u>1</u>

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 07977-304001

Application No. 10/081,558

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Applicant S. Seo, et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

February 20, 2002

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
-	AD				1.		·

	Foreign	n Patent Doc	uments or Pu	ıblished Foreign	Patent A	Application	<u> </u>	
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office			Trans	lation
	AE		Date	Patent Office	Class	Subclass	Yes	No
	AF	-				· · · · · · · · · · · · · · · · · · ·		
	AG							
	AH							
	AI							,

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AJ	
	AK	
	AL	
	AM	
	AN	
	AO	
	ΑP	
	AQ	
	AR	
Ls.	AS	J. Kido et al. "Multilayer white light-emitting organic electroluminescent device." Science 267: 1995. p. 1332-1334

Examiner Signature	Date Considered
1 de	a = a = a = a = a = a = a = a = a = a =
EXAMINER: Initials citation considered. Draw line through citation if a	000100
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	in conformance and not considered. Include copy of this form with

Sheet	~		2
Neet	,	of	,
DIICCL		O.	-

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-304001	Application No. 10/081,558
	closure Statement	Applicant Seo, et al. 113	
	eets if necessary) JUL 1 6 2002	Filing Date February 20, 2002	Group Art Unit

	Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document						
A-5.	AT	Satoshi Seo et al., U.S. patent application serial no. 10/043,786, filed January 10, 2002						
	AU	Satoshi Seo et al., U.S. patent application serial no. 10/043,812, filed January 10, 2002						
	AV	Satoshi Seo et al., U.S. patent application serial no. 10/060,427, filed January 29, 2002						
,	AW	Shunpei Yamazaki et al., U.S. patent application serial no. 10/062,005, filed January 31, 2002						
	AX	Satoshi Seo et al., U.S. patent application serial no. 10/072,507, filed February 5, 2002						
	AY	Shunpei Yamazaki et al., U.S. patent application serial no. 10/072,310, filed February 5, 2002						
	AZ	Shunpei Yamazaki et al., U.S. patent application serial no. 10/081,971, filed February 20, 2002						
	AAA	Hirokazu Yamagata et al., U.S. patent application serial no. 09/852,090, filed May 10, 2001.						
	ABB	Satoshi Seo et al., U.S. patent application serial no. 10/026,064, filed December 21, 2001.						
	ACC	Satoshi Seo et al., U.S. patent application serial no. 10/024,699, filed December 21, 2001.						
\mathcal{M}	ADD							

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-304001	Application No. 10/081,558	
1	el sure Statem nt policant eets ingecessary)	Applicant Satoshi Seo, et al.		
(Use several sin	Sets ir gecessary)	Filing Date February 20, 2002	Group Art Unit 2821	

OCT 0 7 2003 H

	U.C. Dodoná Documento							
	U.S. Patent Documents							
Examiner	Desig. &	Document	Publication				Filing Date	
Initial	ID	Number	Date	Patentee	Class	Subclass	If Appropriate	
	AA	•						
	AB	,		_			0 配	
	AC					2	30	
	AD						ENED 8 200	
	AE		-					
	, AF						ROCK	
	AG						3	
	AH							
	AI							
	AJ							
	AK ∠							

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM	·						
	AN						,	
	AO							
	AP	0						

	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner	Desig.						
Initial	ID	Document					
J.S.	AQ	Takeshi Nishi et al., "High Efficiency TFT-OLED Display with Iridium-Complex As Triplet Emissive Center", Proceedings of the 10th International Workshop on Inorganic and Organic Electroluminescence, pp. 353-356, December 4-7, 2000					
, .	AR						
	AS						

Examiner Signature		Date Considered	615	104	
EXAMINER: Initials citation considered. next communication to applicant.	Draw line through citation if no	t in conformance and not			
	<u> </u>		Substitute	Disclosur Form (PTO-	14401

0	•	۲	E	7
FEB	8	2	2004	C34 3

Sheet	1	of	1

Substitute Form PT 449 (Modified)

449 S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 07977-304001

Application No. 10/081,558

Information Disclosure Statement by Applicant (Use several sheets if necessary) Applicant Satoshi Seo, et al.

Filing Date February 20, 2002 Group Art Unit 2821

Substitute Disclosure Form (PTO-1449)

(37 CFR §1.98(b))

			U.S. Patent	Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
A.s.	AA	2002/0038867 A1	04/04/2002	Kobori et al.			03/14/2001
į.	AB	2003/0118950 A1	06/26/2003	Chao et al.	-		12/04/2002
	AC	6,517,996	02/11/2003	Chao et al.	-		11/17/2000
V	AD	6,603,140	08/05/2003	Kobori et al.	-		03/14/2001
	AE					-	
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
As.	AL	WO 98/08360	02/26/1998	WIPO/PCT			ABS	
	AM	451601	08/21/2001	TAIWAN			ABS	
	AN							
	AO							
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document					
	AQ						
	AR						
	AS						
	AT						

Examiner Signature	L Data Considered						
Calline Signature	Date Considered						
AC	617104						
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with							
next communication to applicant.	• • • • • • • • • • • • • • • • • • • •						

Sheet	1	of	1
DIICCL		O.	

	·		
Substitute Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-304001	Application No. 10/081,558	
Information Disclosure Stat ment by Applicant (Use several spects if necessary)	Applicant S. Seo, et al.		
(Use several sheets if necessary)	Filing Date February 20, 2002	Group Art Unit 2879	

4 TRANS		-	U.S. Pater	nt Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE				1		
	AF						
	AG						
	AH		-/				
	AI						
,	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	lation No
12.	AL	243470	03/21/95	TW			ABS	
7	AM							
	AN							
	AO							
	AP							

Examiner Initial	Desig. ID	Document	
	AQ		·
	AR		-
	AS		28 = R
	AT		REC 800
			EIVED 6 2003 MAIL R

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.